

PLANNED REPORTS TO AUDIT AND GOVERNANCE COMMITTEE

	Report	Committee Date	Report Of	Comments
1	Role of the Audit Committee	June	Grant Thornton	Presentation/training
2	Audit and Governance Committee Update	June	Grant Thornton	
3	Fee Letter	June	Grant Thornton	
4	Draft Annual Statement of Accounts	June	Executive Director Corporate Services	
5	Changes to Arrangements for Appointments for External Auditors	June	Executive Director Corporate Services	
6	Review of the Constitution and Scheme of Delegation for Officers	June	Solicitor to the Council and Monitoring Officer	
7	RIPA Quarterly Report	June	Solicitor to the Council and Monitoring Officer	
8	Internal Audit Annual and Quarterly Update	June	Head of Internal Audit	
9	Risk Management Quarterly Update	June	Head of Internal Audit	
10	Counter Fraud Update	June	Head of Internal Audit	

11	Review of the Effectiveness of Internal Control Environment	June	Head of Internal Audit	To include the review of the effectiveness of internal audit, compliance with PSIAS, roles of the CFO and HIAS
12	Annual Governance Statement and Code of Corporate Governance	June	Head of Internal Audit	
1	Audit Findings Report	September	Grant Thornton	
2	Management Representation Letter	September	Grant Thornton	
3	Annual Statement of Accounts	September	Executive Director Corporate Services	
4	Treasury Management Strategy Statement and Annual Investment Strategy Mid-Year Review Report 2016/17	September	Executive Director Corporate Services	
5	RIPA Quarterly Report	September	Solicitor to the Council and Monitoring Officer	
6	Local Government Ombudsman's Annual Review and Report 2015/16	September	Solicitor to the Council and Monitoring Officer	
7	Internal Audit Quarterly Update	September	Head of Internal Audit	
8	Risk Management Quarterly Update	September	Head of Internal Audit	

1	Members/Standards	October	Solicitor to the Council and Monitoring Officer	
2	Anti Money Laundering Policy	October	Solicitor to the Council and Monitoring Officer	
3	Internal Audit Quarterly Update	October	Head of Internal Audit	
4	Risk Management Quarterly Update	October	Head of Internal Audit	
5	Annual Governance Statement Update	October	Head of Internal Audit	
1	Audit Report on Certification Work 2015/16	January	Grant Thornton	
2	Audit Progress Report	January	Grant Thornton	
3	Annual Audit Letter 2015/16	January	Grant Thornton	
4	RIPA Quarterly Report	January	Monitoring Officer Solicitor to the Council and	
5	Internal Audit Quarterly Update	January	Head of Internal Audit	
6	Risk Management Quarterly Update	January	Head of Internal Audit	
7	Counter Fraud Update	January	Head of Internal Audit	
1	Draft Audit Plan	March	Grant Thornton	

2	Draft Certification Work Plan	March	Grant Thornton	
3	Audit Committee Update	March	Grant Thornton	
4	Auditing Standards	March	Grant Thornton	
5	Informing the Audit Risk Assessment	March	Grant Thornton	
6	Review of the Treasury Management Strategy Statement, Minimum Revenue Provision Policy Statement and Annual Investment Statement 2017/18 and the Treasury Management Strategy Statement and Annual Investment Strategy Mid-Year Review Report 2016/17	March	Executive Director Corporate Services	
7	Final Accounts 2016/17 – Action Plan	March	Director of Finance	
8	RIPA Quarterly Report	March	Monitoring Officer Solicitor to the Council and	
9	Internal Audit Charter and Audit Plan	March	Head of Internal Audit	
10	Audit and Governance Committee Self Assessment	March	Head of Internal Audit	
11	Review of Financial Guidance	March	Head of Internal Audit	